

William Bisson

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SUMMARY Skilled KYC/AML professional with experience and knowledge gained in top financial institutions. I am very thorough with a high attention to detail, and possess an investigative mind. My strengths are; analytical AML profiling for a variety of client types, knowledge of offshore jurisdictions and KYC/AML regulations. I am keen to apply my in-depth researching and problem-solving skills combined with a good understanding of geopolitical environments in different countries.

KYC/AML APPLICATIONS LexisNexis, Factiva, World-Check, WorldCompliance, KYC360°, Viewpoint, 4-Series, BIMAS and CAMP.

EMPLOYMENT **Cantor Fitzgerald group**
(BGC, CFE and CIL), London

June 2011 - present: *Central Compliance Analyst (contract role)*

Responsibilities

- Remediation of client files in-line with AML/KYC requirements, main focus: hedge funds, high risk clients, PEPs and complex chains of UBOs.
- Incorporation of FATCA provisions for the remediation project and on-boarding requirements, importantly a 10% threshold for beneficial ownership.
- Promoted to quality assurance on the remediation project.
- Classify clients for Conduct of Business and MiFID.
- Promoted to supervising the client on-boarding team and giving compliance approval for new brokerage clients and spread betting individuals.
- Knowledge of the CDD requirements of the Dubai FSA.
- Primary risk assessment for PEP and enhanced due diligence forms.
- Assisting the MLRO: including sanctions reviews and submitting SARs.

Achievements

- Researched, analysed and created a country risk rating matrix as part of implementing new procedures and policies.
- Secondary QA of all the remediated individual spread-betting accounts as there had been a previous QA failure.

April 2011 - June 2011: Relocated to London after Credit Suisse wound down their Guernsey fund administration operation.

Credit Suisse Fund Administration (Guernsey) Limited, Guernsey, C.I.

July 2010 - March 2011: *Client Investor Services - KYC/AML and Share Dealing (contract role)*

Responsibilities

- Comprehensive investigative project of all highly political exposed and sensitive clients, reporting directly to the MLRO and Management as part of a thorough due diligence exercise prior to a potential sale of the business.
- Detailed analysis of hedge fund structures; profiling all individuals, producing structure charts showing the connections between all persons, entities and associates and highlighting further risks.
- Client investor services: client on-boarding and dealing with investor queries.
- Share dealing/transfers including complex notes issuing SPVs.
- Preparation for regulatory approval of a multi-billion dollar private equity infrastructure fund and a new oversight entity.

- Bug tested the latest version of Viewpoint.
- Extensive use of LexisNexis and Factiva, and organised training for Nexis.

Achievement

- Designed a client on-boarding flow chart to clarify the CDD process to meet the legislation requirements of Guernsey and the Cayman Islands, and the group policy of Credit Suisse. This was to ensure all on-boarded clients fulfilled the increasing onerous multi-jurisdictional regulatory requirements.

April 2010 - July 2010: Returned to London to complete thesis.

Trident Fund Services (Guernsey) Ltd, Guernsey, C.I.

November 2008 - March 2010: *Share Dealing and New Business Officer*

Responsibilities

- Full CDD for all new hedge funds for administration and regulatory approval, which involved coordinating and meeting with the fund promoters to obtain the necessary documentation.
- On-boarded and conducted CDD for all new and existing investors.
- Client investor services; share dealing, operating bank accounts, answering investor queries, producing reports for the Investment Managers and implemented SignatureNet.
- Sought regulatory approval to launch new a €600 million private equity Russian real estate fund.
- Introduced Viewpoint and trained the team to use for client CDD storage and fund administration.
- Policy and procedure writing as a consequence of the new risk based approach for AML/CFT and the ever changing business of fund administration.
- Good comprehension of the laws relating to AML and collective investment schemes covering EU, Guernsey, Jersey, Cayman Islands and BVI; and the legal constitutional documents for the various types of funds.

April 2008 - November 2008: Completed thesis, scientific project and employed part-time on the Southampton University Eprints project.

Post Graduate Study, Royal Institution of Great Britain / University College London, London

September 2003 - October 2006: *Full Time Ph. D. Student*

November 2006 - March 2008: *Post Doctoral Research Associate*

Responsibilities

- Researching the relationships between crystal structures and magnetism.
- Project management: proposal writing for grants and for experiments at large scale facilities, report writing, budget controls and procurement.
- Presentation of results through publications and public presentations.
- Build and maintain web-servers for a world-wide crystallography resource which included tutoring the Rietveld method.

Achievement

- Supervised and project managed two Nuffield students, one of whom went on to win the nationwide Imperial College sponsored contest for the Intel International Science and Engineering Fair 2005. It was very rewarding, I was the youngest supervisor that year and the first time the RI had a winning student, and the following year I passed on my experiences to the next supervisor whose student also won.

HSBC Private Bank (Guernsey) Ltd, formally HSBC Republic Bank,
Guernsey, C.I.

September 2001 - September 2003: *Assistant Compliance and Anti-Money Laundering Officer*

Responsibilities

- Detailed CDD and AML investigation of private banking clients, and there were many high reputation risk clients.
- Thoroughly trained in the new Proceeds of Crime AML/CFT legislative regime.
- Compiled and presented data, including transaction reporting, for monthly KYC meetings with the directors and senior management.
- Collated and verified suspicious transaction reports and assisted the MLRO in their decisions.
- Provided training for new staff members on AML and KYC, and data security.
- Full understanding of trust and corporate structures to obtain the UBOs.
- Proficient user of LexisNexis and an early user of World-Check.
- Successfully bug-tested and implemented HSBC's in-house Client Activity Monitoring Program: the Guernsey office was part of the first user group.
- Liaised with developers for a new dormant account monitoring software.
- Policy and procedure writing including Data Protection provisions.
- Gatekeeper for the access and permissions to the Guernsey office's data within the BIMAS banking system.

Achievement

- Completed an ISDA Master Agreement review as part of a global HSBC risk assessment exercise of each contract and renegotiate where necessary. I had to learn and complete the project on my own as there was little expertise on Guernsey to help with this process.

EDUCATION

Ph. D. Chemistry, *University College London*, 2011.

B. Sc. Chemistry and Law, Class 2:1, *University of Exeter*, 2001.

A Levels Chemistry (C), Physics (B), Maths (C), *Queen's College, Taunton*, 1996.

10 GCSEs at grade A–C including Maths and English, *Queen's College*, 1994.