

William Bisson B.Sc. Ph.D. AMRSC

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EDUCATION **Ph. D.** Chemistry - “Crystal structures and magnetism in jarosites: model *kagomé* antiferromagnets”, *University College London*, 2011.
B. Sc. Chemistry and Law, Class 2:1, *University of Exeter*, 2001.
A Levels Chemistry (C), Physics (B), Maths (C), *Queen’s College, Taunton*, 1996.
10 GCSEs at grade A–C including Maths and English, *Queen’s College*, 1994.

EMPLOYMENT **BGC Partners L.P.**, London

Central Compliance Analyst: June 2011 - present

Responsibilities and Achievements

- Supervising the client on-boarding team and giving compliance approval for new clients: ranging from retail and high net-worth individuals, hedge funds and investment managers/advisors (“IM”, “IA”), banks and brokerage firms.
- Quality assurance for a remediation project of 600+ spread-betting clients.
- Primary risk assessment for PEP and enhanced due diligence forms.
- Reviewing and classify clients for Conduct of Business and MiFID.
- Assisting the MLRO: including sanctions reviews and submitting SARs.
- Specialist in KYC and AML analysis of hedge funds and part of the remediation team for eligible counter-parties.
- Researched, analysed and created a country risk rating matrix.

Gap: April 2011 - June 2011: Relocated to London after Credit Suisse wound down their Guernsey funds operation.

Credit Suisse Fund Administration (Guernsey) Limited, Guernsey, C.I.

Client Investor Services/Due Diligence incorporating AML & KYC and Share Dealing: July 2010 - March 2011

Responsibilities and Achievements

- Detailed investigative examination of highly political exposed individuals and sensitive clients, including complex hedge fund structures.
- Reported directly to the MLRO on all findings relating to the above due diligence exercise.
- Sought regulatory approval for a multi-billion dollar private equity infrastructure fund and a new oversight entity.
- Client investor services: on boarding new clients and dealing with complex share transfers behind SPVs.
- Re-introduced LexisNexis and Factiva and facilitated training for LexisNexis.

Gap: April 2010 - July 2010: Returned to London to complete thesis.

Trident Fund Services (Guernsey) Ltd, Guernsey, C.I.

Share Dealing and New Business Officer: November 2008 - March 2010.

Responsibilities and Achievements

- Full in depth CDD review for all new hedge funds for administration, including all the individuals and parties related to the Fund(s), IM and IA.
- Obtained regulatory approval to launch new collective investment schemes (“CIS”), including a €600 million private equity Russian real estate fund.

- Onboarded and conducted CDD for all new and existing investors.
- Client investor relationship; share dealing, operating bank accounts, answering investor queries and producing reports for the Investment Manager/Advisor.
- Introduced the use of Viewpoint for client data storage and SignatureNet.
- Good comprehension of the laws relating to AML and collective investment schemes covering EU, Guernsey, Jersey, Cayman Islands and BVI; and the legal constitutional documents for the various types of CIS.

Gap: April 2008 - November 2008: Completed thesis, ad-hoc work on CCP14 and employed part-time on the Southampton University Eprints project.

Post Graduate Study, University College London, London

Full Time Ph. D. Student: September 2003 - October 2006

Post Doctoral Research Associate: November 2006 - March 2008

Responsibilities and Achievements

- Received government funding to undertake a research programme investigating the relationship between crystal structures and magnetism.
- Project management: proposal writing for grants and for experiments at large scale facilities, report writing, budget controls and procurement.
- Presentation of results through publications and public presentations.
- Responsible for the organisation and supervision of 2 Nuffield students, one of whom went on to win the nationwide Imperial College sponsored contest for the Intel International Science and Engineering Fair 2005.
- Influential involvement in a worldwide initiative to promote CCP14, a key crystallography resource - including tutoring the Rietveld method.
- Building and maintaining web-servers to distribute the information contained within the CCP14 project

HSBC Private Bank (Guernsey) Ltd, formally HSBC Republic Bank, Guernsey, C.I.

Assistant Compliance and Anti-Money Laundering Officer: September 2001 - September 2003.

Responsibilities and Achievements

- Full detailed CDD and AML investigation for all clients types - PEPs, high net worth individuals, trust and company, charities and foundations, investment and brokerage firms and IBCs; existing and new.
- Extensive use of LexisNexis and one of the first users of World-Check.
- Monthly transaction reporting for all clients including all their asset classes.
- Collated and verified suspicious transaction reports and assisted the Money Laundering Reporting Officer in their decisions.
- Compiled and presented data across the client portfolio for monthly KYC meetings with directors and senior management.
- Provided training for all new staff members on AML and KYC, and software use and policies and procedures.
- Singly completed the ISDA Master Agreement review as part of a global HSBC exercise to assess the risk of the terms of contract and renegotiate where necessary (suitable lawyers could not be sourced within Guernsey).
- Successfully bug-tested and implemented HSBC's in-house Client Activity Monitoring Program: the Guernsey office was part of the first user group.
- Liaised with developers for a new dormant account monitoring software.
- Implemented Data Protection procedures.

PERSONAL INTERESTS

- Competing in hockey to a high level.
- Outdoor Pursuits including hiking, swimming and power boating.
- Keen interest in current affairs, politics, history and computing.